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An Efficient Message Transfer Mechanism Bypassing Transit Processors

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# An Efficient Message Transfer Mechanism Bypassing Transit Processors

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#### Abstract

This paper describes an efficient mechanism of inter-processor message transfer on loosely-coupled/message-base parallel processing systems. This mechanism eliminates transit processors, which merely relay messages transferred between other processors, using one-way communication with three additional physical messages.

本稿は、疎結合型のマルチプロセッサにおいて、他のプロセッサ間のメッセージ通信を単に 中継するだけのプロセッサをバイパスする方式について述べたものである。本方式では三種類 の付加的なメッセージを用いて、中継プロセッサのバイパスが可能な一方向通信を実現してい る。

# 1 Introduction

For large scale parallel processing, it is desirable that programming languages have capability to represent parallelism in problems naturally. In various programming languages proposed for parallel processing, parallel logic programming languages, such as GHC [Ueda 85], and parallel object-oriented programming languages, such as ABCL [Yonezawa 86], will be hopeful candidates because concurrent processes communicating messages each other are easily and naturally described in them. It is also natural to map these processes onto loosely-coupled/message-base parallel processing systems [Nakajima 89, Takada 89].

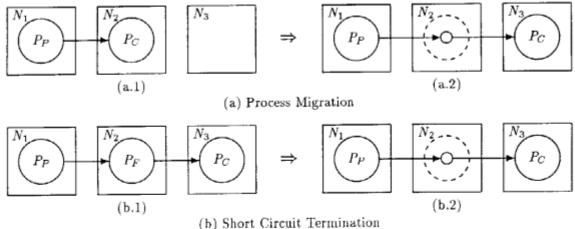
From the viewpoint of efficiency, however, the implementation of those languages on such systems is not so easy, because the cost of communication between processor nodes is often much higher than that of computation. Therefore, the number of *physical* inter-processor messages for a *logical* inter-process message should be minimized for efficient implementation.

In order to minimize the number of messages, transit processors, which merely relay messages transferred between other processors, have to be bypassed. For example, when a process P migrates from a processor node  $N_1$  to  $N_2$ , it is expected that messages directed to P will not pass through  $N_1$  but reach  $N_2$  directly. This bypassing is easily implemented using two-way communication in which one logical message transmission takes two physical messages, forward and backward. In one-way communication systems which is more efficient than two-way systems, however, bypassing seems difficult because the sender is usually ignorant of receiver's activity.

In this paper, we propose an inter-processor one-way message passing mechanism which is capable of bypassing transit processors with a backward message telling the sender that the receiver migrated. This mechanism also has capability to preserve the order of messages using a forward and a backward message, assuming FIFO property of physical network.

This paper is organized as follows: Section 2 shows how a processor is made transit, and how conventional schemes deal with transit processors; section 3 presents the basic scheme and mechanism to bypass transit processors; section 4 discusses implementation details comparing related works with ours; and section 5 gives the conclusion.

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(b) Short Circuit Termination

Figure 1: Transit Processors

# 2 Problems and Conventional Schemes

#### 2.1 Transit Processors

Figure 1 shows typical situations in which a processor is made transit. That is;

- (a) The process  $P_C$  on the processor node  $N_2$  is the consumer of the producer  $P_P$  on  $N_1$  (a.1). If  $P_C$  migrates from  $N_2$  to  $N_3$ ,  $N_2$  becomes a transit processor (a.2). Similarly, if  $P_P$  migrates from  $N_1$  to other processor, say  $N_0$ ,  $N_1$  becomes transit.
- (b) The process P<sub>F</sub> on the processor node N<sub>2</sub> is the filter between P<sub>P</sub> on N<sub>1</sub> and P<sub>C</sub> on N<sub>3</sub> (b.1). If P<sub>F</sub> terminates connecting its input and output, N<sub>2</sub> becomes a transit processor (b.2).

Both situations, process migration and short circuit termination, will often occur in processoriented or object-oriented parallel programming. Thus, it is greatly expected to bypass the transit processor  $N_2$  and transmit messages from  $N_1$  to  $N_3$  directly.

## 2.2 Two-way Communication

In parallel logic programming languages, such as GHC or its modified version, KL1 [Chikayama 88], unifications of list cells are usually used for inter-process communication. If the producer  $P_P$  and consumer  $P_C$  are allocated on different processor nodes,  $N_1$  and  $N_2$ , the unification for a list cell might require two physical messages, one of which is forward (producer to consumer) and the other is backward.

For example, [Ichiyoshi 87] describes the following mechanism.

- (a)  $P_P$  and  $P_C$  share an uninstantiated logical variable  $S_1$  on  $N_1$ , and  $P_C$  has an external reference  $R_1$  pointing  $S_1$ .  $P_C$  sends a backward message  $\mathscr{R}read(S_1, R_1)$ , which demands that  $N_1$  send the value of  $S_1$  to  $R_1$  if  $S_1$  is instantiated.
- (b)  $P_P$  unifies a list cell whose car is a message M and cdr is a new variable  $S_2$ . This makes  $N_1$  send a forward message  $\%answer(R_1, [M|S_2])$  which carries the answer for %read.

This two-way communication mechanism can easily deal with the problem of transit processors, because  $P_C$  always informs  $P_P$  of its location. This advantage, however, is not admired, because it is gained by much overhead, two physical messages for a logical message.

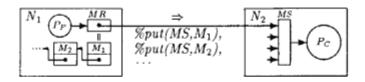


Figure 2: One-way Communication in KL1

# 2.3 One-way Communication

KL1 has an efficient mechanism, built-in merger, for inter-process communication [Inamura 89]. This mechanism provides constant time, non-deterministic n-ary merge operation of streams represented as lists. It might also enable processors to make one-way communication through merged streams, because its implementation lets producers know that their outputs are connected to a special structure for merge.

Figure 2 shows a possible configuration of the one-way communication using the merger. The input of the consumer process  $P_C$  on  $N_2$  is connected to the structure representing merger MS. One of the input streams of the merger is directed by a special external reference MR on  $N_1$ . When the producer process  $P_P$  unifies MR with list cells, one-way forward messages  $\mathscr{H}put(MS, M_i)$  will be transferred to MS.

This configuration is very similar to that of inter-processor communication between concurrent objects in parallel object-oriented languages, such as ABCL [Yonezawa 86]. For example, MS and MR are corresponding to internal and external object descriptors described in [Takada 89].

Note that this one-way communication mechanism stands on FIFO assumption that the physical communication line between  $N_1$  and  $N_2$  preserves message order. Also note that bypassing transit processors is difficult in this mechanism, because a consumer might not have any information about its producers.

# 3 Bypassing Transit Processors

#### 3.1 Basic Scheme

Figure 3 shows the basic scheme of the proposed mechanism. In Figure 3(a),  $P_P$  and  $P_C$  are producer and consumer processes allocated on processor nodes  $N_1$  and  $N_2$  respectively.  $R_1$  and  $R_2$  are external and internal process pointer for  $P_C$ , both of which might have capability to merge message streams (not shown in the figure). These pointers also have queues to keep postponed messages. In this state, communication between  $P_P$  and  $P_C$  is performed in one-way manner on the FIFO assumption.

If the process  $P_C$  migrates to another processor node  $N_3$ ,  $R_2$  changes its state to external, and directs a new internal process pointer  $R_3$  on  $N_3$ , as shown in Figure 3(b). That is,  $N_2$  becomes a transit processor. This state, however, is temporary and should be changed to the final state shown in Figure 3(c) in which the pointer  $R_1$  directly points  $R_3$ . Note that the temporary state (b) will also appear when the process  $P_P$  migrates rather than  $P_C$ . In both cases, the state transition from (b) to (c) is performed in the same manner.

For the transformation from (b) to (c), the following physical inter-processor messages are exchanged.

%put(r,m,s) ...... Send a logical message m from an external process pointer s to a process pointer r. If r is an internal process pointer, s is ignored.

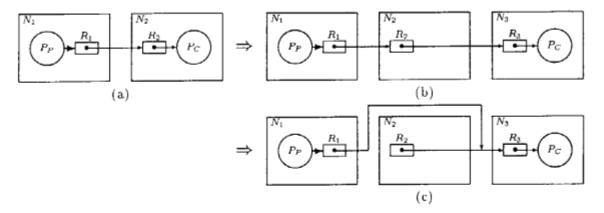


Figure 3: Bypassing Transit Processors

%moved(s) ....... Tell s, the sender of %put, that the real receiver moved somewhere. This message is transferred as the reply of %put if the receiver of %put is an external process pointer.

% confirm(r,s) ...... Request the confirmation that all % put messages from s preceding % confirm are received by the real receiver. This message is sent by s and relayed by r to the real receiver.

#### 3.2 Mechanism

The scenario from Figure 3(b) to (c) is as follows (Figure 4).

- (S1) A message  $\%put(R_2, M, R_1)$  is sent from  $R_1$  on  $N_1$  to  $R_2$  on  $N_2$ .
- (S2) As the reply of %put, R2 send %moved(R1) to R1, because R2 is an external process pointer. R2 also relays %put to its destination R3.

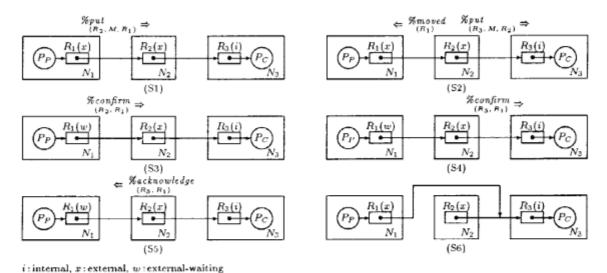


Figure 4: Scenario of Bypassing

Table 1: Actions of Process Pointers

	state		
message	internal	external	external-waiting
%put(x,m,y)	eat	reply(%movcd(y)) relay(%put(d,m,x))	keep
%moved(x)	-	reply(%confirm(d,x)) transform(waiting)	ignore
%confirm(x,y)	reply(%acknowledge(y,x))	relay(%confirm(d,y))	keep
%acknowledge(x,y)		_	transform(normal)
internal	eat	relay(%put(d,m,x))	keep

- (S3) R<sub>1</sub> receives %moved, it changes its state to waiting and sends %confirm(R<sub>2</sub>, R<sub>1</sub>) to R<sub>2</sub>. In waiting state, R<sub>1</sub> postpones sending all messages from P<sub>P</sub> (and other processes) until it receives %acknowledge. The postponed messages are kept in the queue of R<sub>1</sub>.
- (S4)  $R_2$  receives % confirm and simply relays it to the destination of  $R_2$ , because  $R_2$  is an external process pointer. That is, % confirm $(R_3, R_1)$  is sent to  $R_3$ .
- (S5) R<sub>3</sub> receives %confirm and sends %acknowledge(R<sub>1</sub>, R<sub>3</sub>) to R<sub>1</sub> as the reply, because R<sub>3</sub> is an internal process pointer. The FIFO property of the communication line between N<sub>1</sub> and N<sub>2</sub> and that between N<sub>2</sub> and N<sub>3</sub> promises that all messages from R<sub>1</sub> to R<sub>3</sub> through R<sub>2</sub> preceding %confirm are received by R<sub>3</sub> in the same order in which they are sent.
- (S6) R<sub>1</sub> receives %acknowledge and sends all messages in its queue to R<sub>3</sub>. It also changes its state to normal (non-waiting) and directly points R<sub>3</sub> which is specified in %acknowledge.

The actions described above are summarized in Table 1. The columns of the table are corresponding to the states of process pointer x, internal, external and external-waiting. Each row shows the action taken when the process pointer receives a physical message from y, or it is requested to send a logical message by a process allocated on the same node (internal). The following are the explanations of the actions.

relay(message) .... Relay the received message to the destination, d, pointed by the process pointer.

keep ...... Keep the received message in the queue.

reply(message) .... Send message as the reply of the received message.

transform(state) .. Changes the state of the process pointer to state. The state transition to normal causes sweeping out the contents of the queue.

ignore ..... Ignore the received message.

# 4 Implementation Issues

#### 4.1 Migration of Producer

In [Kukula 88], Kukula proposed a mechanism to bypass transit processors for an objectoriented system OX. Kukula's mechanism handles migration of producers and consumers separately. When a producer migrates, it reports its departure to consumer's process pointer. Then it moves to another processor node and informs the consumer of its arrival\*. In order to keep message order, the consumer must make a sidetrack queue for messages from new location received before it catches the departure message from old location. Note that multiple sidetrack queues are necessary in case that the producer rapidly repeats migration.

In contrast with OX, our mechanism easily handles producer's migration in the way exactly same as consumer's migration. When a producer migrates, it makes a external process pointer  $R_n$  on the new processor node to direct process pointer  $R_o$  on the old node. If  $R_o$  is internal, the producer can start message transmission immediately. Otherwise, the situation is same as that shown in Figure 3(b), and successive transmission of %put will trigger the procedure described in 3.2.

In latter case, of course, exchanging %put and %moved in step (S1) and (S2) can be omitted. That is, the procedure can be started from step (S3) by setting the state of  $R_n$  to waiting and sending %confirm immediately. However, this optimization is optional and requires that the producer remembers the state of  $R_o$ . There is the other alternative in which the procedure starts from step (S3) regardless the state of  $R_o$ . This method brings unnecessary message exchange in case that  $R_o$  is internal, but will be appropriate for backward pointer method as discussed later.

## 4.2 Migration of Consumer

When a consumer migrates, the internal process pointer  $R_o$  for the consumer should change its state to external. It is also necessary to keep messages for the consumer in the queue of  $R_o$ , until the consumer arrives new processor node. This queueing is easily performed by setting the state of  $R_o$  to external-waiting. When the consumer arrives new processor node, it makes a internal process pointer  $R_n$ , and send %acknowledge to  $R_o$ . This message will sweep out the contents of the queue, and change the state of  $R_o$  to external. This mechanism is much simpler than that for OX which needs an additional message and state.

It is easy to change the state of  $R_o$ , if the consumer process has a reference to  $R_o$ . For example, Takada proposed a distributed implementation of ABCL in which an object has self pointer to its descriptor [Takada 89]. On the other hand, a KL1 process consuming a merged stream only has the reference to the queue (list) top [Inamura 89]. The merger structure (or process pointer), has the reference to the queue tail which is an uninstantiated variable. In this configuration, the consumer cannot report its migration to the merger structure. Thus the consumer silently migrates with the reference to the queue top, and it will fetch elements in the queue in two-way manner described in 2.2.

This problem can be solved if queue elements are represented as a special data type, say stream, other than but unifiable with list. The message %read to stream data causes a special reply, %merged, which orders the consumer to make a merger structure (internal process pointer) on its processor node. Then the consumer will send %acknowledge to the stream data to sweep out the queue and change the state of the merger structure. In case of queue empty, %read is hooked to an uninstantiated variable. After that, when a producer puts an element to the queue, %merged is sent to the consumer by the unification of the element with the variable.

#### 4.3 Backward Pointer

The message %put has a backward pointer to its sender, s. Since s is usually ignored by the receiver, it seems a good idea to remove s from the message and attach it to receiver process

<sup>\*</sup>The arrival message contains new location of the external pointer associated to the producer, as discussed later.

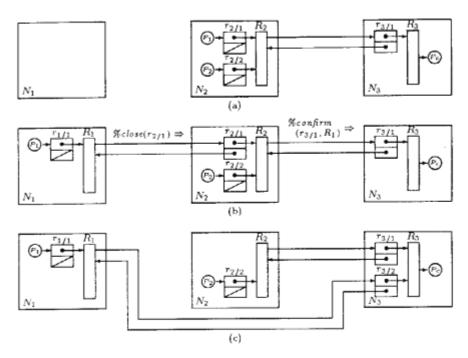


Figure 5: Backward Pointer

pointer, as Kukula does in OX implementation. However, this optimization makes it greatly difficult to merge multiple streams at a process pointer.

In contrast with OX, our mechanism easily handles stream merge because a consumer can be ignorant of locations and population of producers, owing to the backward pointer in %put. Thus, a producer can duplicate its message stream arbitrarily, and distribute them to other processes which may be on other processor nodes.

On the other hand, if duplication and addition of streams are distinguished, the implementation of backward pointer method becomes fairly easy. In KL1, for example, the duplication of a stream makes an erroneous multiple writer stream, and putting an element to the stream usually causes unification failure. For addition, a producer unifies a vector, whose elements are streams to be merged, instead of usual cons cell. This operation will let consumer's process pointer know where producers are.

Figure 5 shows an example configuration with backward pointers. Additional indirection cells  $r_{i/j}$  are receiver cells each of which has the pointer to process pointer  $R_i$  to forward messages. A receiver cells also has a backward pointer to the external process pointer, if it referred from other processor node. When the producer  $P_1$  migrates, it sends  $\%confirm(r_{2/1}, R_1)$  to the receiver regardless the state of the process pointer as described in 4.1 (Figure 5(b)). When the message reaches the receiver connected to an internal process pointer, a new receiver cell  $r_{3/2}$  is created with the backward pointer to  $R_1$  (Figure 5(c)).

Garbage collection for receiver cells and process pointers is also possible. A producer may close the stream to its consumer, and send a message %close(r) if the receiver r is external. Closing a stream will reclaim the receiver cell. If the receiver is the last one, the process pointer will be reclaimed too, closing its output stream. The message %close is also sent directly following %confirm from the external process pointer which like to confirm (Figure 5(b,c)).

## 5 Conclusion

An efficient message transfer mechanism which is capable to bypass transit processors has been presented. This mechanism requires only three additional physical messages to preserve the order of logical messages transmitted through non-bypassed and bypassed routes. The action of the receiver of these messages is simple and well defined.

When a producer and/or its consumer migrates, messages for bypassing are also used to establish the connection between them. This makes the implementation of our mechanism much easier than previous works. Language specific implementation details, such as the detection of consumer's migration and the management of merged streams, are also discussed.

We are now precisely designing the implementation of the proposed mechanism for KL1. As for other languages, such as object-oriented languages, we have started basic studies about efficient inter-processor communication scheme including our mechanism. These work will greatly contribute to research activities on parallel processing, especially for parallel programming language design and dynamic load balancing.

# Acknowledgments

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