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A Query Evalation Method for Abductive Logic Programming

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A Query Evaluation Method for Abductive Logic Programming*

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Abstract

We present a query evaluation method for abduction. In artificial intelligence, abduction has been recognized as important human reasoning and has been applied in various fields [3]. In logic programming, Eshghi and Kowalski [3] introduce abduction to handle negation as failure and Kakas and Mancarella [5, 6] extend the framework to include any arbitrary abducible predicate.

We have already proposed a correct hottom-up procedure to compute abduction. However, this procedure is not suitable for a query evaluation. Although [6] proposes a query evaluation method by extending the procedure in [3], there is a problem of incorrectness in the procedure in [3] and the problem is inherited to the procedure in [6]. Moreover, their procedures can only handle a limited class of integrity constraints.

Our proposed procedure in this paper is correct for any consistent abductive framework. If the procedure succeeds, there is a set of hypotheses which satisfies a query, and if the procedure finitely fails, there is no such set. We can guarantee correctness since we adopt a forward evaluation of rules and check consistency of "implicit deletion" [8]. Thanks to the forward evaluation of rules, we can also handle any form of integrity constraints.

1 Introduction

In this paper, we present a query evaluation method for abduction in logic programming. Researchers in artificial intelligence have recently recognized that abduction plays an

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important role in various applications such as diagnosis, planning and design (for example, see [3]).

Eshghi and Kowalski [3] are the first to consider abduction based on stable model semantics [4] in logic programming. They show the relationship between negation as failure and abduction. And they provide a proof procedure to compute negation as failure through abduction for a call-consistent logic program.

This work has been extended intensively by Kakas and Mancarella [5]. They show the relationship of abductive logic programming with autoepstemic logic, assumption-based truth maintenance system and updates, and also they extend Eshghi and Kowalski's procedure to manipulate arbitrary abducibles [6].

In [9], we also give a bottom-up method of computing a generalized stable model [5] which is a basis of abduction defined by Kakas and Mancarella. Although this method is correct for any general logic program with integrity constraints, it is not goal-directed; that is, even if a goal is given, we might explore some irrelevant parts to a query. Eshghi and Kowalski's top-down procedure [3] solves this problem of irrelevant computation to a query. However, their procedure has a problem of incorrectness for some non-call-consistent programs [3, p.251]. This problem is inherited in the procedure of [6].

Here, we provide a query evaluation method that is correct for every consistent abductive framework ¹. If our procedure answers "yes", then there is a set of hypotheses which satisfies a query. If our procedure answers "no", then there is no set of hypotheses which satisfies a query.

Our procedure can be regarded as an extension of Kakas and Mancarella's procedure [6] in the following two points.

Forward evaluation of rules:

It is important to use integrity constraints to exclude undesirable results from abduction. However, their procedure manipulates a class of integrity constraints in which there is at least one abducible predicate in each integrity constraint.

For example, their procedure cannot handle the following program with an abducible predicate normal_bird^{† 2}.

$$fly(X) \leftarrow bird(X), normal_bird^{\dagger}(X)$$
 (1)

$$bird(tweety) \leftarrow$$
 (2)

$$non_{-}fly(tweety) \leftarrow$$
 (3)

$$\perp \leftarrow fly(X), non_fly(X)$$
 (4)

fly(tweety) seems to be derived with an assumption $normal_bird^{\dagger}(tweety)$ from (1). However, deriving fly(tweety) leads to contradiction by an instance of (4):

$$\perp \leftarrow fly(tweety), non_-fly(tweety).$$

Therefore, fly(tweety) cannot be derived.

¹Consistency of an abductive framework means that there is a generalized stable model for the framework.

²Upper-case letters, lower-case letters and ⊥ express variables, constants and inconsistency, respectively.

This example shows that we need another method to detect contradiction. In our procedure, we use a forward evaluation of rules so that we can check any form of integrity constraints. In the example above, we first derive fly(tweety) from (1), then check the integrity constraint $\bot \leftarrow non_fly(tweety)$ with a forward evaluation of (4) and find contradiction.

Check for Implicit Deletions:

We check consistency for "implicit deletions" first observed by Sadri and Kowalski [8]. For example, consider the following program with an abducible predicate normal_barber^{† 3}.

$$man(noel) \leftarrow$$
 (1)

$$barber(noel) \leftarrow$$
 (2)

$$shaves(noel, X) \leftarrow man(X), \sim shaves(X, X)$$
 (3)

$$shaves(X, X) \leftarrow barber(X), normal_barber^{\dagger}(X)$$
 (4)

$$shaves(casanova, X) \leftarrow barber(X), \sim normal_barber^{\dagger}(X)$$
 (5)

shaves(casanova, noel) seems to be derived with an assumption $\sim normal_barber^{\dagger}(noel)$. However, if we assume it, an instance of (4):

$$shaves(noel, noel) \leftarrow barber(noel), normal_barber^{\dagger}(noel)$$

is implicitly deleted since its body becomes false. Therefore, contradiction occurs from the instance of (3):

$$shaves(noel, noel) \leftarrow man(noel), \sim shaves(noel, noel).$$

Consequently, shaves(casanova, noel) cannot be derived.

This example shows that we must consider the integrity of rules deleted by the hypothesis. However, the proposed methods [3, 5] do not check consistency of implicit deletion. We believe that this lack of check is a major culprit of incorrectness in these methods. Inversely, we check the implicit deletion in our procedure. So, we can show that if we assume $\sim normal_barber^{\dagger}(noel)$, neither shaves(noel, noel) nor $\sim shaves(noel, noel)$ can be consistently derived in the example above.

Also, our procedure is important in the following respects.

- If we do not consider abducible predicates, then our method can be used for query evaluation of every consistent general logic program with integrity constraints based on stable model semantics.
- Our procedure adopts integrity checking for addition of a rule by accumulating hypotheses. In some cases, it avoids infinite loops which occur in other methods of integrity checking such as [8].

The structure of the paper is as follows. Firstly, we review the definitions of an abductive framework. Then, we show the procedure for query evaluation of an abductive framework and give some examples. Finally, we compare our method with related researches. For proofs of the theorems, see Appendix.

^{3~} expresses negation as failure.

2 A Semantics of Abductive Framework

We mainly follow the definition of abductive framework in [5], but we modify it slightly for notational conveniences. Firstly, we define a rule and an integrity constraint.

Definition 1 Let H be an atom, and $L_1, ..., L_m (m \ge 0)$ be literals each of which is an atom or a negated atom of the form $\sim B$. A rule is of the form:

$$H \leftarrow L_1, L_2, ..., L_m$$
.

We call H the head of the rule and $L_1, ..., L_m$ the body of the rule. Let R be a rule. head(R), body(R) and pos(R) denote the head of R, the set of literals in the body of R and the set of positive literals in body(R) respectively.

Definition 2 Let $L_1, ..., L_m (m \ge 0)$ be literals. An integrity constraint is of the form:

$$\bot \leftarrow L_1, L_2, ..., L_m^{-4}$$
.

We write integrity constraints as the above form so that we do not have to distinguish integrity constraints and rules. So, from this point, we do not distinguish rules and integrity constraints.

Moreover, we impose that rules in a program must be range-restricted, that is, any variable in a rule R must occur in pos(R). However, [1] pointed out that any rule can be translated into range-restricted form by inserting a new predicate "dom" describing Herbrand universe for every non-range-restricted variables in the rule.

For a given program (with integrity constraints), we define a stable model as follows.

Definition 3 Let T be a logic program and Π_T be a set of ground rules obtained by replacing all variables in each rule in T by every element of its Herbrand universe. Let M be a set of ground atoms from Π_T and Π_T^M be the following (possibly infinite) program.

$$\Pi_T^M = \{ H \leftarrow B_1, ..., B_k | H \leftarrow B_1, ..., B_k, \sim A_1, ..., \sim A_m \in \Pi_T$$
 and $A_i \notin M$ for each $i = 1, ..., m. \}$

Let $min(\Pi_T^M)$ be the least model of Π_T^M . A stable model for a logic program T is M iff $M = min(\Pi_T^M)$ and $\bot \not\in M$.

This definition gives a stable model of T which satisfies all integrity constraints. We say that T is consistent if there exists a stable model for T.

For a query evaluation procedure, it is better to limit ground instances which should be considered. For example, consider the following program.

$$p(1,2) \leftarrow p(2,1)$$
 (1)

$$p(2,1) \leftarrow \sim q(2)$$
 (2)

$$q(X) \leftarrow p(X,Y), \sim q(Y)$$
 (3)

⁴Although we only consider the above form of integrity constraints (denials) in this paper, there is a transformation from a more general form of integrity constraints to denials as shown in [8].

$$r(f(1)) \leftarrow$$
 (4)

From the above definition, we have to consider infinite ground rules for (3) because of the function symbol f in (4). However, it is clear that there is no possibility to make any instances for p(X,Y) other than p(1,2) and p(2,1) to be true, and so, all we have to consider are actually the following two ground rules.

$$q(1) \leftarrow p(1, 2), \sim q(2)$$
 (3.1)

$$q(2) \leftarrow p(2, 1), \sim q(1)$$
 (3.2)

We formalize this phenomenon as follows.

Definition 4 Let T be a logic program and T^- be a negation-removed program obtained by removing all integrity constraints in T and all the negative literals in the body of remaining rule and $min(T^-)$ be the least minimal model of T^- . We define a relevant ground program Ω_T for T as follows:

$$\Omega_T = \{H \leftarrow B_1, ..., B_k, \sim A_1, ..., \sim A_m \in \Pi_T | B_i \in min(T^-) \text{ for each } i = 1, ..., k.\}$$

Proposition 1 Let T be a logic program. A set of stable models for T is equal to a set of stable models for Ω_T .

The above proposition actually holds for any logic program, but if we impose a program to be range-restricted, we can construct Ω_T directly from T^- without considering instantiation of variables with every elements in Herbrand Universe.

Example 1 Let T be the above program (1), (2), (3) and (4). Then, $min(T^-)$ is $\{p(1,2), p(2,1), q(1), q(2), r(f(1))\}$ and so, Ω_T becomes (1), (2), (3.1), (3.2) and (4).

 Ω_T has only one stable model which is equal to the unique stable model for T, that is, $\{p(1,2), p(2,1), q(1), r(f(1))\}.$

Now, we define an abductive framework.

Definition 5 An abductive framework is a pair (T, A) where A is a set of predicate symbols, called abducible predicates and T is a set of rules each of whose head is not in A.

We call a set of all ground atoms for predicates in A abducibles. As pointed out in [5], we can translate a program which includes a definition of abducibles to an equivalent framework that satisfies the above requirement. Moreover, we impose an abductive framework to be range-restricted, that is, any variable in a rule of a program must occur in non-abducible positive literals of the rule.

Now, we define a scmantics of an abductive framework.

Definition 6 Let (T, A) be an abductive framework and Θ be a set of abducibles. A generalized stable model $M(\Theta)$ is a stable model of $T \cup \{H \leftarrow | H \in \Theta\}$.

We say that (T, A) is consistent if there exists a generalized stable model $M(\Theta)$ for some Θ . The similar proposition to Proposition 1 holds for an abductive framework.

Proposition 2 Let (T, A) be a logic program and T^- be an abducible-and-negation-removed program obtained by removing all negative literals and abducibles in the body of each rule in a program T. We define a relevant ground program Ω_T for (T, A) as follows:

$$\Omega_T = \{H \leftarrow B_1, ..., B_k, C_1, ..., C_l, \sim A_1, ..., \sim A_m \in \Pi_T | B_i \in min(T^-) \text{ for each } i = 1, ..., k \text{ and } C_1, ..., C_l \text{ are abducibles.} \}$$

Then, a set of generalized stable models for (T, A) is equal to a set of generalized stable models for (Ω_T, A) .

3 Query Evaluation for Abduction

Before showing our query evaluation method, we need the following definitions. Let l be a literal. Then, \bar{l} denotes the complement of l.

Definition 7 Let T be a logic program. A set of resolvents w.r.t. a ground literal l and T, resolve(l, T) is the following set of rules:

resolve(
$$l,T$$
) =
$$\{(\bot \leftarrow L_1,...,L_k)\theta | l \text{ is negative and}$$

$$H \leftarrow L_1,...,L_k \in T \text{ and } \overline{l} = H\theta \text{ by a ground substitution } \theta \} \cup$$

$$\{(H \leftarrow L_1,...,L_{i-1},L_{i+1},...,L_k)\theta |$$

$$H \leftarrow L_1,...,L_k \in T \text{ and } l = L_i\theta \text{ by a ground substitution } \theta \}$$

The first set of resolvents are for negation as failure and the second set of resolvents corresponds with "forward" evaluation of the rule introduced in [8].

Example 2 Consider the following program T.

$$p(1,2) \leftarrow p(2,1)$$
 (1)
 $p(2,1) \leftarrow \sim q(2)$ (2)

$$q(X) \leftarrow p(X, Y), \sim q(Y)$$
 (3)

Then, $resolve(\sim q(2), T)$ is a set of the following rules:

$$p(2,1) \leftarrow$$
 with the literal in the body of (2)
 $\bot \leftarrow p(2,Y), \sim q(Y)$ with the head of (3)
 $q(X) \leftarrow p(X,2)$ with the second literal in the body of (3)

Definition 8 Let T be a logic program. A set of deleted rules w.r.t. a ground literal l and T, del(l,T), is the following set of rules:

$$del(l,T) = \{(H \leftarrow L_1, ..., L_k)\theta | H \leftarrow L_1, ..., L_k \in T \text{ and } \bar{l} = L_i\theta \text{ by a ground substitution } \theta \}$$

Example 3 Consider the program T in Example 2. Then, del(q(2), T) is a set of the following rules:

$$\begin{array}{ll} p(2,1) \leftarrow \sim q(2) & from~(2) \\ q(X) \leftarrow p(X,2), \sim q(2) & from~(3) \end{array}$$

Our query evaluation procedure consists of 4 subprocedures, $derive(p, \Delta)$, $literal_con(l, \Delta)$, $rule_con(R, \Delta)$ and $deleted_con(R, \Delta)$ where p is a non-abducible atom and Δ is a set of ground literals already assumed and l is a ground literal and R is a rule.

 $derive(p, \Delta)$ returns a ground substitution for the variables in p and a set of ground literals. This set of ground literals is a union of Δ and literals newly assumed during execution of the subprocedure. Other subprocedures return a set of ground literals.

The subprocedures have a select operation and a fail operation. The select operation expresses a nondeterministic choice among alternatives. The fail operation expresses immediate termination of an execution with failure. Therefore, a subprocedure succeeds when its inner calls of subprocedures do not encounter fail. We say a subprocedure succeeds with $(\theta \text{ and}) \Delta$ when the subprocedure successfully returns $(\theta \text{ and}) \Delta$.

The informal specification of the 4 subprocedures is as follows.

- 1. derive(p, Δ) scarches a rule R of p in a program T whose body can be made true with a ground substitution θ under a set of assumptions Δ. To show that every literal in the body can be made true, we call derive for non-abducible positive literals in the body. Then, we check the consistency of other literals in the body with T and Δ. Note that because of the range-restrictedness, other literals in R become ground after all the calls of derive for non-abducible positive literals.
- literal_con(l, Δ) checks the consistency of a ground literal l with T and Δ. To show
 the consistency for assuming l, we add l to Δ; then, we check the consistency of
 resolvents and deleted rules w.r.t. l and T.
- 3. rule_con(R, Δ) checks the consistency of a rule R with T and Δ. If R is not ground, we must check the consistency for ground instances of R. But by Proposition 2, it is sufficient to consider every ground instance Rθ in Ω_{TU(R)}. We can prove the consistency by showing that either a literal in body(Rθ) can be falsified or body(Rθ) can be made true and head(Rθ) consistent.

This procedure can also be used to check integrity for rule addition.

4. deleted_con(R, Δ) checks if a deletion of R does not cause any contradictions with T and Δ. To show the consistency of the implicit deletion of R, it is sufficient to prove that the head of every ground instance Rθ in Ω_T ⁵ can be made either true or false.

Thanks to the range-restrictedness, we can compute all ground instances of a rule R (if they are finite) in Ω_T (or $\Omega_{T \cup \{R\}}$). For this, we compute every SLD derivation of a

⁵Note that $\Omega_{T \cup \{R\}} = \Omega_T$ since R is an instance of a rule in T.

```
derive(p, \Delta) p: a non-abducible atom; \Delta: a set of literals
   if p is ground and p \in \Delta then return (\varepsilon, \Delta)
   elseif p is ground and \sim p \in \Delta then fail
   begin
      select R \in T s.t. head(R) and p are unifiable with an mgu \theta
      if such a rule is not found then fail
      \Delta_0 := \Delta, \theta_0 := \theta, B_0 := pos(R\theta), i := 0
      while B_i \neq \{\} do
      begin
         take a literal l in B_i
         if derive(l, \Delta_i) succeeds with (\sigma_i, \Delta_{i+1})
            then \theta_{i+1} := \theta_i \sigma_i, B_{i+1} := (B_i - \{l\})\sigma_i, i := i+1 and continue
      end
      \delta := \theta_i
      for every l \in neg(R\delta) \cup abd(R\delta) do
      begin
         if literal\_con(l, \Delta_i) succeeds with \Delta_{i+1}
            then i := i + 1 and continue
      end
      if literal\_con(p\delta, \Delta_i) succeeds with \Delta' then return (\delta, \Delta')
   end
end (derive)
literal\_con(l, \Delta) l: a ground literal; \Delta: a set of literals
   if l \in \Delta then return \Delta
   elseif l = \bot or \bar{l} \in \triangle then fail
   begin
      \Delta_0 := \{l\} \cup \Delta, i := 0
      for every R \in resolve(l, T) do
         if rule\_con(R, \Delta_i) succeeds with \Delta_{i+1}
            then i := i + 1 and continue
      for every R \in del(l,T) do
         if deleted\_con(R, \Delta_i) succeeds with \Delta_{i+1}
            then i := i + 1 and continue
   end
   return ∆;
end (literal.con)
```

Figure 1: The definition of derive and literal_con

```
rule\_con(R, \Delta) R: a rule; \Delta: a set of literals
begin
   \Delta_0 := \Delta, i := 0
   for every ground rule R\theta \in \Omega_{T \cup \{R\}} do
   begin
      select (a) or (b)
      (a) select l \in body(R\theta)
         if l \in pos(R\theta) \cup abd(R\theta) and literal..con(\overline{l}, \Delta_i) succeeds with \Delta_{i+1}
            then i := i + 1 and continue
         elseif l \in neg(R\theta) and derive(\bar{l}, \Delta) succeeds with (\varepsilon, \Delta_{i+1})
            then i := i + 1 and continue
      (b) \Delta_{i}^{0} := \Delta_{i}, j := 0
         for every l \in body(R\theta) do
         begin
            if l \in pos(R\theta)
               and derive(l, \Delta_i^j) succeeds with (\varepsilon, \Delta_i^{j+1})
               then j := j + 1 and continue
            elseif l \in neg(R\theta) \cup abd(R\theta)
               and literal\_con(l, \Delta_i^j) succeeds with \Delta_i^{j+1}
               then j := j + 1 and continue
          end
          if literal\_con(head(R\theta), \Delta_i^j) succeeds with \Delta_{i+1}
            then i := i + 1 and continue
    end
    return ∆;
 end (rule_con)
 deleted\_con(R, \Delta) R: a rule; \Delta: a set of literals
 begin
    \Delta_0 := \Delta, i := 0
    for every ground rule R\theta \in \Omega_T do
    begin
       select (a) or (b)
       (a) if derive(head(Rθ), Δ<sub>i</sub>) succeeds with (ε, Δ<sub>i+1</sub>)
          then i := i + 1 and continue
       (b) if literal_con(~head(Rθ), Δ<sub>i</sub>) succeeds with Δ<sub>i+1</sub>
          then i := i + 1 and continue
    end
    return \Delta_i
 end (deleted_con)
```

Figure 2: The definition of rule_con and deleted_con

query which consists of all non-abducible positive literals in body(R) to the abducibleand-negation-removed program T^- (or $(T \cup \{R\})^-$).

Example 4 Consider T and the second resolvent of resolve($\sim q(2), T$) in Example 2. Let R be the second resolvent and T_1 be $T \cup \{R\}$. In order to obtain possible ground instances of R in Ω_{T_1} , we compute every SLD derivation of a query ? - p(2, Y) to the program T_1^- . Then, since only the returned substitution from SLD derivation is $\{Y/1\}$, we have the following ground instance in Ω_{T_1} for R:

$$\perp \leftarrow p(2,1), \sim q(1)$$

Now, we describe in detail the subprocedures in Figure 1 and Figure 2. In Figure 1, ε denotes empty substitution and $\theta_i \sigma_i$ expresses a composition of two substitutions θ_i and σ_i . Also, we denote a set of non-abducible positive literals, non-abducible negative literals, and abducibles (either negative or positive) in a rule R as pos(R), neg(R) and abd(R).

If we remove deleted_con and do not consider resolvents obtained with "forward" evaluation of the rule, then this procedure coincides with that of Kakas and Mancarella [5]. That is, our procedure is obtained by augmenting their procedure with an integrity constraint checking in a bottom-up manner and with an implicit deletion checking.

We can show the following theorems for correctness of successful derivation and finite failure.

Theorem 1 Let (T, A) be a consistent abductive framework. Suppose derive $(p, \{\})$ succeeds with (θ, Δ) . Then, there exists a generalized stable model $M(\Theta)$ for T such that Θ includes all positive abducibles in Δ and $M(\Theta) \models p\theta$.

This theorem means that if the procedure $derive(p, \{\})$ answers "yes" with (θ, Δ) , then there is a generalized stable model which satisfies $p\theta$. However, we cannot say in general that we make $p\theta$ true only with positive abducibles in Δ , because there might be some hypotheses which are irrelevant to a query but which we must assume to get consistency.

The following is a theorem related to correctness for finite failure.

Theorem 2 Let (T, A) be an abductive framework. Suppose that every selection of rules terminates for derive $(p, \{\})$ with either success or failure. If there exists a generalized stable model $M(\Theta)$ for (T, A) and a ground substitution θ such that $M(\Theta) \models p\theta$, then there is a selection of rules such that derive $(p, \{\})$ succeeds with (θ, Δ) where Θ includes all positive abducibles in Δ .

This theorem means that if we can search exhaustively in selecting the rules and there is a generalized stable model which satisfies a query, then the procedure always answers "yes".

With this theorem, we obtain the following corollary for a finite failure.

```
1
derive(q(V), \{\})
                                                                                                                     2
  select q(V) \leftarrow p(V, Y_1), \sim q(Y_1)
                                                                                                                      3
    derive(p(V, Y_1), \{\})
                                                                                                                      4
      select p(1,2) \leftarrow p(2,1)
                                                                                                                      5
         derive(p(2,1), \{\})
                                                                                                                     6
           select p(2,1) \leftarrow \sim q(2)
                                                                                                                     7
             lit\_con(\sim q(2), \{\})
               rule\_con((p(2,1) \leftarrow), {\sim}q(2)))
                                                                                                                     8
                  lit\_con(p(2, 1), \{\sim q(2)\})
                                                                                                                     9
                    rule\_con((p(1,2) \leftarrow), \{p(2,1), \sim q(2)\})
                                                                                                                    10
                      lit\_con(p(1, 2), \{p(2, 1), \sim q(2)\})
                                                                                                                    11
                        rule\_con((q(1) \leftarrow \sim q(2)), \{p(1,2), p(2,1), \sim q(2)\})
                                                                                                                    12
                                                                                                                    13
                           lit\_con(q(1), \{p(1,2), p(2,1), \sim q(2)\})
                             del\_con((q(X_2) \leftarrow p(X_2, 1), \sim q(1)), \{q(1), p(1, 2), p(2, 1), \sim q(2)\})
                                                                                                                    14
                    rule\_con((q(2) \leftarrow \sim q(1)), \{q(1), p(1, 2), p(2, 1), \sim q(2)\})
                                                                                                                    15
                rule\_con((\bot \leftarrow p(2, Y_3), \sim q(Y_3)), \{q(1), p(1, 2), p(2, 1), \sim q(2)\})
                                                                                                                    16
                rule\_con((q(X_4) \leftarrow p(X_4, 2)), \{q(1), p(1, 2), p(2, 1), \sim q(2)\})
                                                                                                                    17
                                                                                                                    18
             lit\_con(p(2, 1), \{q(1), p(1, 2), p(2, 1), \sim q(2)\})
                                                                                                                    19
         lit\_con(p(1,2), \{q(1), p(1,2), p(2,1), \sim q(2)\})
    lit\_con(\sim q(2), \{q(1), p(1, 2), p(2, 1), \sim q(2)\})
                                                                                                                    20
                                                                                                                    21
    lit\_con(q(1), \{q(1), p(1, 2), p(2, 1), \sim q(2)\})
ANSWER
V = 1 under \{q(1), p(1, 2), p(2, 1), \sim q(2)\}
```

Figure 3: Calling Sequence for $derive(q(V), \{\})$

Corollary 1 Let (T, A) be an abductive framework. If derive $(p, \{\})$ fails, then for every generalized stable model $M(\Theta)$ for (T, A) and for every ground substitution θ , $M(\Theta) \not\models p\theta$.

When the procedure $derive(p, \{\})$ answers "no", there is no generalized stable model which satisfies the query. Also, this corollary means that we can use a finite failure to check if the negation of a ground literal is true in all generalized stable models since finite failure of $derive(p, \{\})$ means that every generalized stable model satisfies $\sim p$.

4 Examples

Example 5 Consider the program T in Example 2 and an abductive framework (T, \emptyset) . Then, Figure 3 shows a sequence of calling procedures obtained for derive $(q(V), \{\})$.

In Figure 3, we firstly search a rule whose head is unifiable with q(V) (Step 2) and try to make the body of the rule to be true (Step 3~20). There are two literals in the body, $p(V, Y_1)$ and $\sim q(Y_1)$. We find a ground substitution for $p(V, Y_1)$ (Step 3~19) and then show consistency of $\sim q(2)$ (Step 20).

```
1
derive(f(t), \{\})
                                                                                                                                                2
  select f(t) \leftarrow b(t), nb^{\dagger}(t)
                                                                                                                                                3
     derive(b(t), \{\})
                                                                                                                                                4
        select b(t) \leftarrow
                                                                                                                                                5
           lit\_con(b(t), \{\})
                                                                                                                                                6
             rule\_con((f(t) \leftarrow nb^{\dagger}(t)), \{b(t)\})
                                                                                                                                                7
                lit\_con(\sim nb^{\dagger}(t), \{b(t)\})
                                                                                                                                                8
                   del\_con((f(t) \leftarrow b(t), nb^{\dagger}(t)), \{\sim nb^{\dagger}(t), b(t)\})
                                                                                                                                                9
                      derive(f(t), \{\sim nb^{\dagger}(t), b(t)\})
                        select f(t) \leftarrow b(t), nb^{\dagger}(t)
                                                                                                                                              10
                                                                                                                                               11
                           derive(b(t), \{\sim nb^{\dagger}(t), b(t)\})
                           lit\_con(nb^{\dagger}(t), \{\sim nb^{\dagger}(t), b(t)\}) \Longrightarrow fail (back to 8)
                                                                                                                                              12
                                                                                                                                              13
                      lit\_con(\sim f(t), \{\sim nb^{\dagger}(t), b(t)\})
                        rule\_con((\bot \leftarrow b(t), nb^{\dagger}(t)), \{\sim f(t), \sim nb^{\dagger}(t), b(t)\})
                                                                                                                                              14
                                                                                                                                              15
                         del\_con((\bot \leftarrow f(t), nf(t)), \{\sim f(t), \sim nb^{\dagger}(t), b(t)\})
                                                                                                                                              16
      lit\_con(nb^{\dagger}(t), \{\sim f(t), \sim nb^{\dagger}(t), b(t)\}) \Longrightarrow fail (back to 6)
                                                                                                                                              17
                 lit\_con(nb^{\dagger}(t), \{b(t)\})
                   rule\_con((f(t) \leftarrow b(t)), \{nb^{\dagger}(t), b(t)\})
                                                                                                                                              18
                                                                                                                                              19
                      lit\_con(f(t), \{nb^{\dagger}(t), b(t)\})
                                                                                                                                              20
                        rule\_con((\bot \leftarrow nf(t)), \{f(t), nb^{\dagger}(t), b(t)\})
                                                                                                                                              21
                            lit\_con(\sim nf(t), \{f(t), nb^{\dagger}(t), b(t)\})
                               rule\_con((\bot \leftarrow), \{\sim nf(t), f(t), nb^{\dagger}(t), b(t)\}) \Longrightarrow fail (back to 20)
                                                                                                                                              22
                                                                                                                                              23
                            derive(nf(t), \{f(t), nb^{\dagger}(t), b(t)\})
                              select nf(t) \leftarrow
                                                                                                                                              ^{24}
                                 lit\_con(nf(t), \{f(t), nb^{\dagger}(t), b(t)\})
                                                                                                                                               25
                                    rule\_con((\bot \leftarrow f(t)), \{nf(t), f(t), nb^{\dagger}(t), b(t)\}) \Longrightarrow fail
                                                                                                                                               26
```

Figure 4: Calling Sequence for derive(fly(tweety), {})

While finding a substitution for the first literal $p(V, Y_1)$, we check consistency of $\sim q(2)$ (Step 7 \sim 17). To show its consistency, we check three resolvents for $\sim q(2)$ shown in Example 2 (Step 8, 16 and 17).

During the check for the first resolvent, we check implicit deletion of an instance of rule (3) deleted by q(1) (Step 14) in order to show consistency of q(1) (Step 13). In this case, we have a non-ground deleted rule and so, we compute every ground instance in a relevant ground program. There is only one such ground rule $(q(2) \leftarrow p(2,1), \sim q(1))$ and since $\sim q(2)$ has been already assumed, Step 14 succeeds.

Similarly, at Step 16 and 17, we have non-ground rules and so, we compute ground instances in a relevant ground program. Such instances are $(\bot \leftarrow p(2,1), \sim q(1))$ for Step 16 and $(q(1) \leftarrow p(1,2))$ for Step 17. Both steps succeed since q(1) and p(1,2) has been already assumed.

Finally, we check consistency of q(1) (Step 21) and get a ground substitution of $\{V/1\}$ for q(V).

Example 6 Consider the following program T and an abductive framework $\langle T, \{normal_bird^{\dagger}\} \rangle$.

$$fly(X) \leftarrow bird(X), normal_bird^{\dagger}(X)$$
 (1)
 $bird(tweety) \leftarrow$ (2)
 $non_fly(tweety) \leftarrow$ (3)
 $\bot \leftarrow fly(X), non_fly(X)$ (4)

Then, Figure 4 shows a sequence of calling procedures obtained for derive(fly(tweety), {}) by left-most depth-first search ⁶.

In Figure 4, we firstly try to search the rule for f(t) and find the rule (1) (Step 2) and try to make the body true. Then, we check consistency for one of the literal b(t) in the body (Step $5\sim15$) and assume $\sim nb^{\dagger}(t)$ at Step 7. After showing consistency of $\sim nb^{\dagger}(t)$ (Step $7\sim15$), we try to make the other literal $nb^{\dagger}(t)$ in the body of the selected rule at Step 2, but we fail (Step 16). Then, we backtrack and assume $nb^{\dagger}(t)$ instead (Step 17). Since nb^{\dagger} is an abducible predicate, it is sufficient to show consistency of $nb^{\dagger}(t)$. However, to show its consistency, we must show consistency of f(t) (Step 19). Unfortunately, contradiction occurs by the integrity constraint (4) (Step $20\sim26$) and therefore, we cannot conclude f(t).

5 Related Work

5.1 The procedure of Kakas and Mancarella

As stated in Section 3, if we do not check the consistency for implicit deletion and we do not consider the "forward" evaluation of rules, our procedure is identical to that of Kakas and Mancarella [6]. Although "forward" evaluation of rules is used mainly to forward-check the integrity constraint, it is also necessary for implicit deletion check. This is because a rule might be deleted by both an assumed literal itself; and also by other literals derived from the assumed literal. Therefore, the whole procedure is necessary for consistency checking of an abductive framework; and also of a general logic program even without integrity constraints.

5.2 Integrity check method of Sadri and Kowalski

Sadri and Kowalski [8] propose an integrity check method by augmenting the SLDNF procedure with "forward" evaluation of rules and consistency check for implicit deletion. Although we use also the same techniques, our method differs from theirs in accumulating hypotheses during integrity check. The technique of hypothesis accumulation enables us to prove the consistency for addition of rules for a wider class of logic programs more than with their method. For example, consider the following program:

$$p \leftarrow \sim q$$
 (1)

⁶In Figure 4, f, b, nb[†], nf and t mean fly, bird, normal_bird[†], non_fly and tweety respectively.

To check the consistency for addition of p, they invoke a query $\leftarrow p$ and see if it finitely fails. However, their procedure enters an infinite loop, whereas $rule_con((p \leftarrow), \{\})$ of our procedure succeeds in showing the consistency for addition because of the accumulation of hypotheses.

Moreover, their method guarantees consistency for addition of a rule not for every general logic program, but for a limited class of logic programs which contain no negative literals in the body of each rule. On the other hand, if $rule_con(R, \{\})$ succeeds, we can guarantee that R is consistent with the current program even if it contains negative literals in its body.

5.3 Poole's Theorist

Poole [7] develops a default and abductive reasoning system called *Theorist*. Our method differs from *Theorist* in the following points.

- The basic language for Theorist is a first-order language whereas we use a logic program. So, in Theorist, a contrapositive inference must be considered, while in our setting, it is not necessary. Instead of that, however, we must consider the consistency checking of implicit deletion.
- Assumptions in Theorist correspond with normal defaults without prerequisites in Default Logic, whereas in our setting, rules in a logic program can be regarded as arbitrary defaults. So, our procedure deals with a default theory with only arbitrary default rules and no proper axioms.

6 Conclusion

In this paper, we propose a query evaluation method for an abductive framework. Our procedure can be regarded as an extension of the procedure of Kakas and Mancarella by adding forward evaluation of rules and consistency check for implicit deletion.

We think that we need to investigate the following research in the future.

- In our method, a literal l for literal_con(l, △) must be ground. This restriction imposes a program to be range-restricted. However, if we can manipulate nonground hypotheses, range-restrictedness is no longer necessary. Therefore, we would like to investigate a direct treatment of non-ground hypotheses.
- We should investigate the computational complexity of our procedure and compare it with our bottom-up procedure for abduction [9].

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Appendix

We need the following definitions and lemmas to prove Theorems.

Definition 9 Let Δ be a set of literals. $\mathcal{F}(\Delta)$ is a set of rules defined as:

Definition 10 Let T be a logic program. A set of ground atoms M is a finite grounded model for T if the following are satisfied.

M is a model of T.

2. M can be written as a sequence of ground atoms (p₁, p₂,...,p_n) such that each p_j has at least one ground instance of a rule in T, R_j, such that head(R_j) = p_j and pos(R_j) ⊆ {p₁,...,p_{j-1}} where p₁,...,p_{j-1} are the element of the sequence up to j-1 and (neg(R_j) ∩ M) = ∅. We say a sequence of such rules for a ground atom p_j is a sequence of supporting rules for p_j and especially a sequence of supporting rules for p_n, (R₁, R₂,...R_n), is a sequence of supporting rules for M.

We can prove the following lemma by extending [2, Theorem 3.8].

Lemma 1 Let T be a logic program. A set of ground atoms M is a finite grounded model for T if and only if M is a finite stable model for T.

To show Theorem 1, we need the following lemma.

Lemma 2 Let (T, A) be an abductive framework and Ω_T be a relevant ground program for T and Δ be a set of literals such that $\mathcal{F}(\Delta)$ is consistent.

- Suppose derive(p, Δ) succeeds with (θ, Δ') and let R be a set of rules in Ω_T which
 are checked during the execution. Then, pos(Δ') (a set of positive literals in Δ')
 is a generalized stable model M(Θ) for (R ∪ F(Δ), A) where Θ is equal to a set of
 abducibles occurring positively in Δ'.
- Suppose literal_con(l, Δ) succeeds with Δ' and let R be a set of rules in Ω_T which
 are checked during the execution. Then, pos(Δ') is a generalized stable model M(Θ)
 for (R ∪ F({l} ∪ Δ), Λ) where Θ is equal to a set of abducibles occurring positively
 in Δ'.
- Suppose rule_con(R, Δ) succeeds with Δ' and let R be a set of rules in Ω_{T∪{R}} which
 are checked during the execution. Then, pos(Δ') is a generalized stable model M(Θ)
 for (R∪F(Δ), A) where Θ is equal to a set of abducibles occurring positively in Δ'.
- Suppose deleted.con(R, Δ) succeeds with Δ' and let R be a set of rules in Ω_T which
 are checked during the execution. Then, pos(Δ') is a generalized stable model M(Θ)
 for (R∪F(Δ), A) where Θ is equal to a set of abducibles occurring positively in Δ'.

Proof of Lemma(Sketch): Let Θ be a set of abducibles occuring positively in Δ' . By induction of the number of calls of subprocedures during the execution, we can show that $pos(\Delta')$ is a stable model by proving that $pos(\Delta')$ is a model of $\mathcal{R} \cup \mathcal{F}(\Delta \cup \Theta)$ (or $\mathcal{R} \cup \mathcal{F}(\{l\} \cup \Delta \cup \Theta)$ for $literal_con$) and there is a sequence of supporting rules for $pos(\Delta')$ in $\mathcal{R} \cup \mathcal{F}(\Delta \cup \Theta)$ (or $\mathcal{R} \cup \mathcal{F}(\{l\} \cup \Delta \cup \Theta)$ for $literal_con$). \square

Proof of Theorem 1: Suppose $derive(p, \{\})$ succeeds with (θ, Δ) , but for every generalized stable model $M(\Theta)$ for $\langle T, A \rangle$, $M(\Theta) \not\models \mathcal{F}(\Delta)$. By Proposition 2, for every generalized stable model $M(\Theta)$ for (Ω_T, A) , $M(\Theta) \not\models \mathcal{F}(\Delta)$.

Let \mathcal{R} be a set of rules in Ω_T which are checked during the execution. Let \mathcal{R}_1 be a set of rules in \mathcal{R} with a literal l such that $l \notin \Delta$ and $\overline{l} \notin \Delta$ and let \mathcal{R}_2 be $\mathcal{R} - \mathcal{R}_1$. Then, every rule in \mathcal{R}_1 satisfies the following conditions.

- There exists a literal l = the body such that l
 ∈ Δ.
- Let the head be p. Then, p ∈ ∆ or p̄ ∈ ∆ since deleted_con for the rule has been invoked.

Let T_1 be a set of rules in $\Omega_T - \mathcal{R}$ with a literal l such that $l \in \Delta$ or $\overline{l} \in \Delta$ and let T_2 be $\Omega_T - \mathcal{R} - T_1$. Then, every rule in T_1 satisfies the following conditions.

- For every literal l in the body, l ∉ ∆ and l̄ ∉ ∆ since otherwise, the rule has been checked.
- Let the head be p. Then, p ∈ ∆ since if p̄ ∈ ∆, rule_con for the rule has been invoked.

By the above lemma, there is a generalized stable model $M'(\Theta')$ for (\mathcal{R}, A) . From the assumption, there is no generalized stable model for (Ω_T, A) which subsumes $M'(\Theta')$.

This means that there is no generalized stable model for $(\mathcal{R}_2 \cup T_2, A)$ which subsumes $M'(\Theta')$ since if there is such a model M'', every rule in $\mathcal{R}_1 \cup T_1$ is satisfied by M'' and this contradicts the assumption.

Suppose there is a generalized stable model for (T_2, A) then there is a generalized stable model for $(\mathcal{R}_2 \cup T_2, A)$ since there is no common ground atoms between \mathcal{R}_2 and T_2 , and T_2 , and T_2 , are itself has the generalized stable model T_2 . This contradicts the above result. Therefore, there is no generalized stable model for T_2 , T_2 .

Then, any rule in $\Omega_T - T_2$ cannot save inconsistency of $\langle T_2, A \rangle$ even if it is added since any rule in $\Omega_T - T_2$ does not have any ground atom in T_2 as its head. Therefore, there is no generalized stable model for $\langle \Omega_T, A \rangle$ and this contradicts the consistency of $\langle T, A \rangle$. \square .

Proof of Theorem 2: It is sufficient to show the following.

Lemma 3 Let (T, A) be an abductive framework and Δ be a set of literals such that $\mathcal{F}(\Delta)$ is consistent.

- Suppose that derive(p, Δ) terminates for every selection. If there exists a ground substitution θ and a generalized stable model M(Θ) for ⟨T ∪ F(Δ), A⟩ such that M(Θ) |= pθ, then there is a selection of rules for which derive(p, Δ) succeeds with (θ, Δ') and M(Θ) |= F(Δ').
- Suppose that literal_con(l, Δ) terminates for every selection. If there exists a generalized stable model M(Θ) for (T ∪ F(Δ), A) such that M(Θ) ⊨ l, then there is a selection of rules for which literal_con(l, Δ) succeeds with Δ' and M(Θ) ⊨ F(Δ').
- Suppose that rule_con(R, Δ) terminates for every selection. If there exists a generalized stable model M(Θ) for (T ∪ F(Δ), A) such that for every ground rule, R' ∈ Ω_{T∪{R}}, M(Θ) ⊨ R', then there is a selection of rules for which rule_con(R, Δ) succeeds with Δ' and M(Θ) ⊨ F(Δ').

Suppose that deleted_con(R, Δ) terminates for every selection. If there exists a
generalized stable model M(Θ) for ⟨T ∪ F(Δ), A⟩, then there is a selection of rules
for which deleted_con(R, Δ) succeeds with Δ' and M(Θ) ⊨ F(Δ').

Proof of Lemma(Sketch): Since each subprocedure terminates with every selection of rules, we can use induction of number of the longest calls of subprocedures among selections.

For 1, if there is a ground substitution θ and a generalized stable model, $M(\Theta)$ which satisfies $p\theta$, then there is a sequence of supporting rules in Ω_T for $p\theta$ by Lemma 1. Let $R\theta$ be a rule at the tail of the sequence where R is a rule in T. By the assumption of induction, we can show that for each literal in the body of $R\theta$, we can have a selection of rules which make each subprocedure call succeeds with a part of θ and a part of Δ' . Therefore, we can construct a selection of rules and Δ' for $derive(p\theta, \Delta)$ by combining all of these selection of rules and hypotheses for each literal in the body. Then, we can lift up this ground rule $R\theta$ to R.

Others can be proved in a similar way \(\sigma\).